

ATTORNEY'S DOCKET NO: <u>C1104-7117.20</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George G. Mueller, et al.

Serial No:

10/803,540

Conf. No:

5652

Filed:

March 18, 2004

For:

GEOMETRIC PANEL LIGHTING APPARATUS AND METHODS

Examiner:

Not yet assigned

Art Unit:

2841

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 37, 2004.

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith are the following documents:

Information Disclosure Statement and Form PTO-1449 [X]

[X]Return Receipt Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge the balance to Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,

George G. Mueller, et al., Applicants

By:

Joseph Teja, Jr., Reg. No. 45,157

Lowrie, Lando & Anastasi, LLP

Riverfront Office Park One Main Street, 11th Floor

Cambridge, MA 02142 Telephone (617) 395-7000

Attorneys for Applicants

Date: September C

750265.1



DOCKET NO: <u>C1104-7117.20</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George G. Mueller, et al.

Serial No:

10/803,540

Confirmation No:

5652

Filed:

March 18, 2004

For:

GEOMETRIC PANEL LIGHTING APPARATUS AND METHODS

Examiner:

Not yet assigned

Art Unit:

2841

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 27day of September, 2004.

Jeanne W. Chub

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

- 2 -

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

Serial No.: 10/803,540 - 3 -Art Unit: Not yet assigned

An early and favorable action is hereby requested.

Respectfully submitted, George G. Mueller, et al., Applicants

Joseph Teja, Jr., Reg. No. 45,157 LOWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

One Main Street

Cambridge, Massachusetts 02142

Tel. (617) 395-7000

Docket No. C1104-7117.20 Date: September 27, 2004 SEP 2 9 2004

FORM PTO-1449/A and B (Modified)

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of 2

APPLICATION NO.: 10/803,540

ATTY. DOCKET NO.: C1104-7117.20

FILING DATE: March 18, 2004

CONFIRMATION NO.: 5652

APPLICANT: Ihor A. Lys, et al.

GROUP ART UNIT: 2841

EXAMINER: Not yet assigned

U.S. PATENT DOCUMENTS

Examiner's Cite Initials# No.	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue of Cited Document MM-DD-YYYY	
	Number	Kind Code	Document			
		US2002/0126064	Al	Yen	09/12/2002	
		US2003/0107887	Al	Eberl	06/12/2003	
		US2004/0066652	Al	Hong	04/08/2004 (Abstract)	
		3,595,991		Diller	07/27/1971	
		3,696,393		McDonald	10/03/1972	
		3,740,570		Kaelin et al.	06/19/1973	
		4,360,804		Okura	11/23/1982	
		4,394,600		Flannagan	07/19/1983	
		4,559,480		Nobs	12/17/1985	
	-	4,581,612		Jones	04/08/1986	
		4,581,655		Ide et al.	04/08/1986	
		4,612,720		Manners-Smith et al.	09/23/1986	
		4,644,342		Abbas	02/17/1987	
	1	4,720,709		Imanura et al.	01/19/1988	
		4,782,336		Bailey	11/01/1988	
		4,833,542		Hara et al.	05/23/1989	
		4,857,801		Farrell	08/15/1989	
		5,375,043		Tokunaga	12/20/1994	
		5,410,328		Yoksza, et al.	04/25/1995	
		5,684,309		McIntosh et al.	11/04/1997	
		5,796,376		Banks	08/18/1998	
		5,900,850		Bailey et al.	05/04/1999	
		5,949,581		Kurtenbach et al.	09/07/1999	
		5,961,201		Gismondi	10/05/1999	
		6,028,582		Drew et al.	02/22/2000	
		6,069,595		Tokimoto	05/30/2000	
		6,069,597		Hansen	05/30/2000	
		6,104,414		Odryna et al.	08/15/2000	
		6,175,342		Nicholson et al.	01/16/2001	
		6,237,290	Bl	Tokomoto et al.	05/29/2001	
		6,314,669		Tucker	11/13/2001	
		6,329,764	B1	Van de Ven	12/11/2001	
		6,330,111	B1	Myers	12/11/2001	
		6,331,915		Myers	12/18/2001	
		6,379,209		Tucker	04/30/2002	
	<u> </u>	6,476,779		Yano et al.	11/05/2002	

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 10/803,540 ATTY. DO		ATTY. DOO	OCKET NO.: C1104-7117.20 MATION NO.: 5652		
STATEMENT DV ADDI ICANT			FILING I	FILING DATE: March 18, 2004 CONFIRM.			
			APPLICANT: George G. Mueller, et al.				
Sheet 2 of 2		GROUP .	GROUP ART UNIT: 2841 EXAMINI		ER: Not yet assigned		
			U.S. 1	PATENT DOCUMENTS			
		6,540,373		Bailey		04/01/2003	
		6,543,164		Sperl et al.		04/08/2003	
		6,558,021		Wu et al.		05/06/2003	- -
		6,603,243		Parkyn et al.		08/05/2003	
		6,639,574		Scheibe		10/28/2003	
		6,642,666		St. Germain		11/04/2003	
		6,680,579		Allen et al.		01/20/2004	
		6,690,341		Tokimoto et al.		02/10/2004	
		6,693,385		Koyama		02/17/2004	
		6,704,989		Lutz et al.		03/16/2004	
6,707,389		Pederson		03/16/2004			
			FOREIC	ON PATENT DOCUMENTS			
Examiner's	Cite	Foreign Patent Docu		Name of Patentee or Appli	cant of Cited	Date of Publication of	Translation
Dyminion 2	NI	Office/	721.1	Document		Cited Document	(VAI)

OTHER ART — NON PATENT LITERATURE DOCUMENTS

(not necessary)

Kind

Code

Number

No.

Countr

Initials

Cited Document

MM-DD-YYYY

(Y/N)

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	Translation (Y/N)	
		publisher, city and/of country where published.			

EXAMINE R	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]750204.1

^{*}a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. ___, filed ___, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).